IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Group Art Unit: Unknown

Kevin P. Baker, et al.

Examiner: Unknown

Serial No.: Filed herewith

Filed: Filed herewith

Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same

ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34) AND CHANGE OF CORRESPONDENCE ADDRESS

BOX: Patent Application Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

For:

Please recognize as Associate Attorney in this case:

Ralph J. Gabric - Reg. No. 34,167 Paul E. Rauch, Ph.D - Reg. No. 38,591 K. Shannon Mrksich - Reg. No. 36,675

<u>Please direct all communications relative to said pending patent application</u> to the following address:

Paul E. Rauch, Ph.D. Brinks, Hofer, Gilson & Lione NBC Tower - Suite 3600 455 N. Cityfront Plaza Drive Chicago, Illinois 60611-5599 Telephone: 312-321-4200 Facsimile: 312-321-4299

CUSTOMER NO: 28442

Date: August <u>30</u>, 2001

PATENT TRADEMARK OFFICE

Respectfully submitted, GENENTECH, INC.

By: Elizabeth M. Barnes, Ph.D. Reg. No. 35,059

Telephone No. (650) 225-4563

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) _ is attached hereto or \underline{X} was filed on $\underline{May 25, 2001}$ as Application Serial No. $\underline{09/866,028}$ and was amended on _ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

inventor's certif	icate listed below and h	ave also identified belo	ates Code, §119(a)-(d) of any foreign application(s) for patent o v any foreign application for patent or inventor's certificate have a
filing date before	re that of the applicatio	n on which priority is o	aimed:
Pror Foreign A	application(s):		Priority Claimed Yes No
Number	Country	Day/Month	Year Filed
		<u>-</u>	19(e) of any United States provisional applications(s) listed below ional Patent Applications)
Application Ser		Filing Date	ionar r atom Apphoations,
as the subject of provided by the defined in Title	natter of each of the cla e first paragraph of Title	ims of this application is 35, United States Code egulations, §1.56 whic	120 of any United States applications(s) listed below and, insofa not disclosed in the prior United States application in the manne §112, I acknowledge the duty to disclose material information as occurred between the filing date of the prior application and the
See Attache	ed Appendix B (lis	ting 19 U.S. and F	CT Patent Applications)
Application Se		Filing Date	Status: Patented, Pending, Abandoned
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09157
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Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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Full name of sole or first inventor	
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Full name of sixth joint inventor, if any Mary E. Gerritsen	
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, California 94402	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	•
Full name of seventh inventor	
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Inventor's signature	Date
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, California 94122 Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth inventor Austin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Kenneth J. Hillan Date Inventor's signature Residence 64 Seward Street, San Francisco, California 94114 Citizenship GB Post Office Address

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Inventor's signature	Date			
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Citizenship US				
Post Office Address 1 DNA Way South San Francisco, CA 94080				

APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

60/067,411 filed December 3, 1997; 60/069,334 filed December 11, 1997;

60/069335 filed December 11, 1997;

60/069,278 filed December 11, 1997;

60/069,425 filed December 12, 1997;

60/069,696 filed December 16, 1997;

60/069,694 filed December 16, 1997;

60/069,702 filed December 16, 1997;

60/069,870 filed December 17, 1997;

60/069,873 filed December 17, 1997;

60,068,017 filed December 18, 1997;

60/070,440 filed January 5, 1998;

60/074,086 filed February 9, 1998;

60/074,092 filed February 9, 1998;

60/075,945 filed February 25, 1998;

66/112,850 filed December 16, 1998;

60/113,296 filed December 22, 1998;

60/146,222 filed July 28, 1999.

<u>L</u>

APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

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PET/US00/14042 filed May 22, 2000;

PCT/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

Priority Claimed

Yes

No

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Prior Foreign Application(s):

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Number	Country	Day/Month/	Year Filed
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See attached	Appendix A (listi	ng 18 U.S. Provis	ional Patent Applications)
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Application Ser. 1	No.	Filing Date	Status: Patented, Pending, Abandoned
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Inventor's signature	Date
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Full-name of second joint inventor, if any	
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Fidi	nama	of	sixteenth	inventor
run	Hallie	O.	Sixteentri	inventor

William I. Wood

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Application Ser. No.

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Inventor's signature	Date
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Full name of fourteenth joint inventor, if any	
Margaret Ann Roy	
Inventor's signature	Date
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Daniel Tumas	9-7:001
Inventor's signature	Date
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C. di	aama	٠f	sixteenth	inventor
run	name	or	sixteentn	inventor

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PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

Application Ser. No.

My residence, post office address and citizenship are as stated below next to my name,

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SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) _ is attached hereto or \underline{X} was filed on $\underline{May 25, 2001}$ as Application Serial No. $\underline{09/866,028}$ and was amended on _ (if applicable).

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inventor's certificate	e listed below and hav	e also identified belo	ow any foreign application for patent or inventor's certificate have a
	nat of the application		
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Prior Foreign Appli	cation(s):		Priority Claimed
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Number	Country	Day/Month	n/Year Filed
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Application Ser. No.	D	Filing Date	• • • • • • • • • • • • • • • • • • • •
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			e, §112, I acknowledge the duty to disclose material information as
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	ernational filing date		
See Attached A	Appendix B (listin	ng 19 U.S. and	PCT Patent Applications)
Application Ser. No		Filing Date	Status: Patented, Pending, Abandoned

Status: Patented, Pending, Abandoned

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09157

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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Full name of sole or first inventor		
Kevin P. Baker		
Inventor's signature	Date	·
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Full name of sixth joint inventor, if any May E. Gerritsen		
Inventor's signature	Date	
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Full name of seventh inventor		
Audrey Goddard		
Inventor's signature	Date	
Residence 110 Congo Street, San Francisco, California 94131		
Citizenship CA		
Post Office Address 1 DNA Way South San Francisco, CA 94080		

Full name of eighth joint inventor, if any Paul J. Godowski Inventor's signature Date Residence 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi Inventor's signature Date Residence 1434 36th Avenue, San Francisco, California 94122 Citizenship บร Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth inventor Austin L. Gurney Inventor's signature Date Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Kenneth J. Hillan Inventor's signature Date Residence 64 Seward Street, San Francisco, California 94114

Citizenship GB

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Ivar J. Kljavin

Inventor's signature	Date
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Citizenship	
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Full name of thirteenth inventor	
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Inventor's signature	Date
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US.	
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Margaret Ann Roy	
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Cittzenship	
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Daniel Tumas	
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1111	name	Λt	sixteenth	Inventor

William I. Wood

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APPENDIX A

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APPENDIX B

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Mary A. Napier	July 20, 2001
Inventor's signature . Napier	/ Date/
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Full name of sixteenth inventor

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